

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Lek Pharmaceuticals d.d.Application No./Patent No.: 10/598,816 Filed/Issue Date: \_\_\_\_\_Titled: Synthesis of 2-Methyl-4-(4-Methyl-1-Piperazinyl)-10H-Thieno(2,3-B) (1,5) Benzodiazepine and Salts ThereofLek Pharmaceuticals d.d. , a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1.  the assignee of the entire right, title, and interest in;
2.  an assignee of less than the entire right, title, and interest in  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
3.  the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made) the patent application/patent identified above, by virtue of either:

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 Additional documents in the chain of title are listed on a supplemental sheet(s). As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

M. Le-Good

Monika Le-Good

Printed or Typed Name

Date

Patent Service Specialist

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature

Gillian McCann

Printed or Typed Name

07.04.09

Date

Patent Service Specialist

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

C: MARK S. GRAHAM COMPANY: P.O. BOX 1871



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DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE**



MARCH 18, 2009

\* 500810539A

PTAS

MARK S. GRAHAM  
P.O. BOX 1871  
KNOXVILLE, TN 39701

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 03/18/2009

REEL/FRAME: 022413/0833  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: 33684-US-PCT - 64655.US

ASSIGNOR:  
MESAR, TOMAZ

DOC DATE: 08/22/2006

ASSIGNOR:  
COPAR, ANTON

DOC. DATE: 08/22/2006

ASSIGNOR:  
STURM, HUBERT

DOC. DATE: 11/15/2006

ASSIGNOR:  
LUDESCHER, JOHANNES

DOC DATE: 11/15/2006

ASSIGNEE:  
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VEROVSKOVA 57  
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C:MARK S. GRAHAM COMPANY;P.O. BOX 1871

022413/0833 PAGE 2

SERIAL NUMBER: 10598816

FILING DATE: 12/14/2006

PATENT NUMBER:

ISSUE DATE:

TITLE: SYNTHESIS OF 2-METHYL-4-(4-METHYL-1-PIPERAZINYL)-10H-THIENO(2,3-B)  
(1,5) BENZODIAZEPINE AND SALTS THEREOFASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION

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## PATENT ASSIGNMENT

Electronic Version v1.1  
Stylesheet Version v1.103/18/2009  
500810539

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

## CONVEYING PARTY DATA

Name	Execution Date
Tomaz Mesar	08/22/2006
Anton Copar	08/22/2006
Hubert Sturm	11/15/2006
Johannes Ludescher	11/15/2006

## RECEIVING PARTY DATA

Name:	Lek Pharmaceuticals d.d.
Street Address:	Verovskova 57
City:	1526 Ljubljana
State/Country:	SLOVENIA

## PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	10598816

## CORRESPONDENCE DATA

Fax Number: (865)523-4478

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CH \$40.00 10598816

ATTORNEY DOCKET NUMBER: 33684-US-PCT - 64655.US

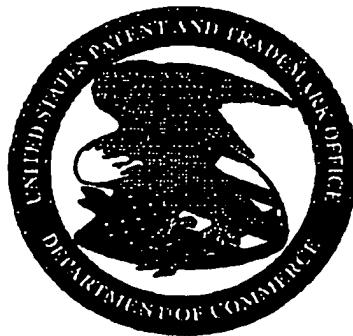
NAME OF SUBMITTER: Mark S. Graham

Total Attachments: 2

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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From: Name: ASSIGNMENT SERVICES BRANCH  
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#### Fax Notes:

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Pg#	Description
1	Cover Page
2	217.TXT
4	Document 1, Batch 1601868

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Date and time of transmission: Wednesday, March 18, 2009 4:51:04 PM  
Number of pages including this cover sheet: 04

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US (PCT) Case 33684-US-PCT

ASSIGNMENT

I / We Tomaz MESAR, Ljubljanska 27, 1236 Trzin, Slovenia, citizen of Slovenia  
Anton COPAR, Staretov trg 1, 1275 Smartno pri Litiji, Slovenia, citizen of Slovenia

Hubert STURM, Edith-Stein-Weg 2, 6020 Innsbruck, Austria, citizen of Austria

Johannes LUDESCHER, Kleinsöll 101, 6252 Breitenbach, Austria, citizen of Austria

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Lek Pharmaceuticals d.d.**, a **Company organized under the laws of Slovenia, of Verovskova 57, 1526 Ljubljana, Slovenia**, its successors, assigns and legal representatives all my/our right, title and interest, in and for the United States of America, in and to the invention entitled:

**SYNTHESIS OF 2-METHYL-4-(4-METHYL-1-PIPERAZINYL)-10H-THIENO[2,3-B]  
[1,5]BENZODIAZEPINE AND SALTS THEREOF**

invented by me/us and described in the international application

No. PCT/EP2005/002876 filed 17/03/2005

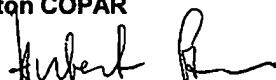
and all United States Letters Patent which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the said **Lek Pharmaceuticals d.d.**, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this assignment and sale had not been made;

And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said **Lek Pharmaceuticals d.d.**.

Signed on

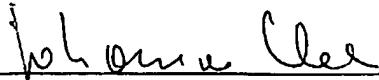
\_\_\_\_\_  
Tomaz MESAR

\_\_\_\_\_  
Anton COPAR



\_\_\_\_\_  
15. 11. 2006

\_\_\_\_\_  
Hubert STURM



\_\_\_\_\_  
15. 11. 2006

\_\_\_\_\_  
Johannes LUDESCHER



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**CUSTOMER NUMBER:** 000083721

**CORRESPONDENCE ADDRESS:**  
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**FAX:** **PHONE:** 865.5464305  
**E-MAIL:** MGRAHAM@LNG-PATENT.COM

**Date Mailed:** 10/20/2008

**NOTICE OF CUSTOMER NUMBER ASSIGNMENT**

The request to assign a "Customer Number" to the above-identified Correspondence Address and Practitioner Registration Number(s) indicated below has been accepted by the Commissioner of Patents and Trademarks.

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39598, 44990, 47507, 47601, 48633, 51975, 52646, 58753  
58947, 61472.

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Patents Electronic Business Center  
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